

H-A 06-20-01 #3 SEIN \$

Snell & Wilmer

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Patents, Trademarks & Copyrights
tfinn@swlaw.com

June 19, 2001

VIA EXPRESS MAIL
NO. EL608992232US

Assistant Commissioner for Patents
Box Missing Parts
Washington, DC 20231

Re: **Response to Notice to File Missing Parts and Assignment**
U.S. Patent Application, Lydia Breck, et al.
Title: **SYSTEM FOR FACILITATING A**
TRANSACTION
Serial No.: **09/800,461**
Confirmation No.: **3738**
Our File No.: **40655.0700**

Dear Sir:

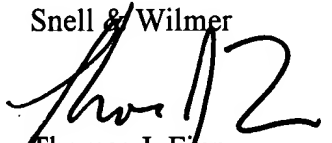
Enclosed are the following documents responsive to the Notice to File Missing Parts, dated April 30, 2001. Additionally, also enclosed for recordation is an Assignment.

1. Notice to File Missing Parts, dated April 30, 2001;
2. Response to Notice to File Missing Parts of NonProvisional Application;
3. Fee Transmittal for FY 2001;
4. Check no. 283986 for \$170.00 for assignment recordation and declaration surcharge;
5. Return Receipt Postcard (postage prepaid);
6. Recordation Form Cover Sheet and Assignments (Utility Patent); and
7. Declaration and Power of Attorney for Patent Application.

Please process the enclosed documents pertaining to the referenced application serial no. 09/800,461. Thank you.

Sincerely,

Snell & Wilmer


Thomas J. Finn
Reg. No. 48,066

TJF:bf
Enclosures

cc: Ms. Patrice Jacobson (AMEX; w/encls.)



Express Mail Label No. EL608992232US

#3

IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE

PATENT

Inventor(s): **Lydia Breck, et al.** Docket No.: **40655.0700**
Serial No.: **09/800,461**; Confirmation No. **3738** Group Art No.: **2161**
Filing Date: **March 7, 2001** Examiner: **TBA**
Title: **SYSTEM FOR FACILITATING A TRANSACTION**

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION
FILING DATE GRANTED**

Assistant Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231

Dear Sir:

This responds to the Notice to File Missing Parts of NonProvisional Application -
Filing Date Granted mailed on April 30, 2001.

Enclosed herewith for filing are the following:

- A copy of the Notice to File Missing Parts of NonProvisional Application - Filing Date Granted. **(REQUIRED)**; and
 - Recordation Form Cover Sheet and Assignment (Utility Patent) \$40.00
 - Declaration and Power of Attorney for Patent Application
 - Surcharge for late filed Declaration and POA \$130.00
- Total completion of application fees \$170.00
- Total fees due \$170.00

The fee of \$130.00 is to be paid as follows:

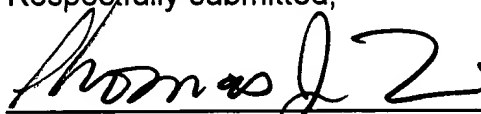
- X A check in the amount of the fee is enclosed.
- X The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **19-2814**.
A duplicate copy of this sheet is enclosed.

X If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 19-2814.

A duplicate copy of this sheet is enclosed.

Respectfully submitted,

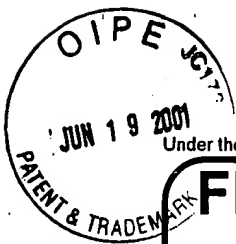
Dated: June 19, 2001



Thomas J. Finn, Esq.
Reg. No. 48,066

SNELL & WILMER L.L.P.
One Arizona Center
400 E. Van Buren
Phoenix, AZ 85004-2202
(602) 382-6228
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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number	09/800,461; Confirmation No. 3738
Filing Date	March 7, 2001
First Named Inventor	Lydia Breck
Examiner Name	TBA
Group Art Unit	2161
Attorney Docket No.	40655.0700

TOTAL AMOUNT OF PAYMENT

\$170.00

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **19-2814**
Deposit Account Name **Snell & Wilmer**

☒ Charge Any Additional Fee Required.
Under 37 CFR §§ 1.16 and 1.17

☐ Applicant claims small entity status.
See 37 CFR § 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1)

2. EXTRA CLAIM FEES

Extra Claims		Fee from below	Fee Paid
Total Claims	-20** =		
	0	X 18.00	= 0.00
Independent Claims	-3** =	0	X 80.00 = 0.00
Multiple Dependent			=

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

\$0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non - English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR § 1.17(q)	
126	180	126	180	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$170.00

SUBMITTED BY

Name (Print/Type) **Thomas J. Finn, Esq.**

Signature

Thomas J. Finn

Registration No.
(Attorney/Agent)

48,066

Complete (if applicable)

Telephone

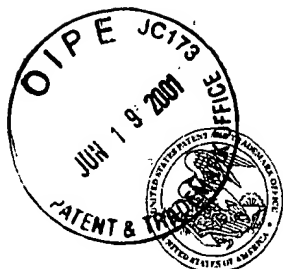
602/382/6208

Date

June 19, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/800,461	03/07/2001	Lydia Breck	40655.0700

CONFIRMATION NO. 3738

FORMALITIES LETTER



OC000000006021618

Thomas J. Finn
Snell & Wilmer L.L.P.
One Arizona Center
400 East Van Buren
Phoenix, AZ 85004-2202

Date Mailed: 04/30/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

06/22/2001 BSAYASI1 00000018 09800461 PART 2 - COPY TO BE RETURNED WITH RESPONSE

01 FC:105

130.00 OP